

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	3448504
<b>Application Number:</b>	10767699
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	5670
<b>Title of Invention:</b>	System and method for controlling the disclosure of a trading order
<b>First Named Inventor/Applicant Name:</b>	Thomas J. Daley
<b>Customer Number:</b>	63710
<b>Filer:</b>	Samir A Bhavsar/Christine Fortier
<b>Filer Authorized By:</b>	Samir A Bhavsar
<b>Attorney Docket Number:</b>	04-6174 (069547.0174)
<b>Receipt Date:</b>	12-JUN-2008
<b>Filing Date:</b>	29-JAN-2004
<b>Time Stamp:</b>	17:31:49
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 180
RAM confirmation Number	11675
Deposit Account	020384
Authorized User	

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File Listing:					
Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement Letter	IDSLetter.pdf	48186	no	2
			28bfd894f76a03254eacb0150c0b98a8441656f2		
Warnings:					
Information:					
2	Information Disclosure Statement (IDS) Filed	IDS.pdf	159862	no	3
			50babebccb6ce242a59ebc095066d2824524d036		
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
3	NPL Documents	2.pdf	211339	no	5
			8fede564d77bb6adf58f794f1610df274e7634c8		
Warnings:					
Information:					
4	NPL Documents	3.pdf	231181	no	5
			f861bd0db996d55da684b3a0a048fd89a8f6b63f		
Warnings:					
Information:					
5	NPL Documents	4.pdf	718945	no	19
			46dd7646b057050d669aactfbeb7fb0bf35f62e7a		
Warnings:					
Information:					
6	NPL Documents	5.pdf	1688287	no	48
			ddd34837f14578861ab7d5b88902e5678d69f545		
Warnings:					
Information:					
7	NPL Documents	6.pdf	1717172	no	49
			d06a184ee76718bf88958baf6b91920453271ae2		
Warnings:					
Information:					
8	NPL Documents	7.pdf	1409778	no	38
			a9b98e5afb8eebc90dc7ce0f6332d5077b8e15f2		
Warnings:					
Information:					

9	NPL Documents	8.pdf	404248 6705b27dd31df9aa95247c8a1b07dea68c2b218b	no	14
Warnings:					
Information:					
10	Fee Worksheet (PTO-06)	fee-info.pdf	8191 de98d74ab42e127793a39602eb86e4cb378e3aa3	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			6597189		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>  If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>  If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b>  If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					